



Aerosolized 4D-710 for the Treatment of Cystic Fibrosis (CF) Lung Disease



**Interim Phase I/2 Safety & Efficacy Data and
Program Update**

November 1, 2023



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This Presentation shall not constitute an offer to sell or the solicitation of an offer to buy securities.

Presentations Featuring 4D-710: 2nd Consecutive Year in Plenary

2023 North American Cystic Fibrosis Conference (NACFC)

Plenary

PL1- Genetic Therapies for All: Harnessing Cross-Disease Knowledge for Breakthroughs in Cystic Fibrosis

📅 Thursday, November 2, 2023 ⌚ 4:30 PM – 6:00 PM MST 📍 Location: North Ballroom A-D

Speaker(s)



Paul B. McCray, Jr., MD (he/him/his)

University of Iowa

Plenary Session

PL1 Genetic Therapies for All: Harnessing Cross-Disease Knowledge for Breakthroughs in Cystic Fibrosis

Paul McCray, Jr., M.D.,

University of Iowa

Thursday November 2, 2023

4:30 PM – 6:00 PM MST

Location: North Ballroom A–D

Symposium

S14--Restoration of CFTR Ion Transport for All People With CF

S14.2- Building the Path to the Cure: the role of AAV therapy

📅 Friday, November 3, 2023 ⌚ 3:30 PM – 5:30 PM MST 📍 Location: 102 AB (West)

Speaker(s)



Jennifer L. Taylor-Cousar, MD, MSCS

National Jewish Health

Session S14

Restoration of CFTR Ion Transport for All People with CF

S14.2 Building a Path to the Cure: the Role of AAV Therapy

Jennifer L. Taylor-Cousar, M.D.,

National Jewish Health

Friday, November 3, 2023

3:30 PM – 5:30 PM MST

Location: 102 AB (West)

Positive 4D-710 Data Strengthens 4DMT's Commitment to Pulmonology

Wholly-Owned Pulmonology Franchise

- **Next-generation aerosolized A101 vector for large-market lung diseases:** 4D-710 for CF & 4D-725 for A1AT deficiency lung diseases

4D-710 Clinical Update (Phase I/2 AEROW study n=7, 1E15 and 2E15 vg)






















- **Well tolerated generally:** acute dosing & long-term f/u (up to 17 months)
- **Promising & reproducible** CFTR transgene expression: **all 7** participants
 - ✓ **100% (34 of 34)** of lung samples positive (+)
 - ✓ **Significantly higher than normal:** **98%** in airway tissue samples & **~450%** of normal
- **Durable** clinical activity through **12 months in Cohort 1** (Cohort 2 pending)

Next Steps

- Cohort 1 dose level (1E15 vg) **to continue into Phase 2**
- **Dose ranging continues** (5E14 – 2E15 vg): expression profile enables lower doses; first participant dosed in Cohort 3 (5E14 vg)
- **FDA feedback for monotherapy & modulator combination regimens** expected to be shared in Q1 2024

Wholly-Owned Pulmonology Franchise is a Key Value Driver

AI01 Lung Vector Among Three Novel, Highly Targeted Next-Generation AAV Vectors Currently in the Clinic

VECTOR/ DELIVERY	PRODUCT CANDIDATE	INDICATION	RESEARCH CANDIDATE	IND- ENABLING	PHASE 1/2	PHASE 3	PRODUCT RIGHTS
OPHTHALMOLOGY R100 Intravitreal 	4D-I50	Wet AMD					 4DMT
		Diabetic Macular Edema					
	4D-I25	XLRP					 4DMT
	4D-I10	Choroideremia					 4DMT
	4D-I75	Geographic Atrophy					 4DMT
	Undisclosed <small>Vector licensed to Astellas Pharma</small>	Undisclosed Rare Monogenic Ophthalmic Disease					
PULMONOLOGY A101 Aerosol 	4D-710	Cystic Fibrosis Lung Disease (monotherapy)					 4DMT
		Cystic Fibrosis Lung Disease (combo with modulators)					
	4D-725	AIAT Deficiency Lung Disease					 4DMT
CARDIOLOGY C102 IV 	4D-310	Fabry Disease Cardiomyopathy					 4DMT

AI01: Next-Gen Aerosolized Genetic Medicine Vector for Pulmonology

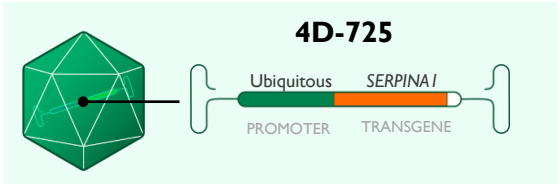
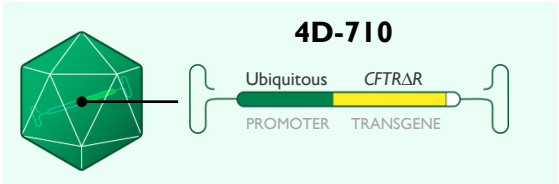
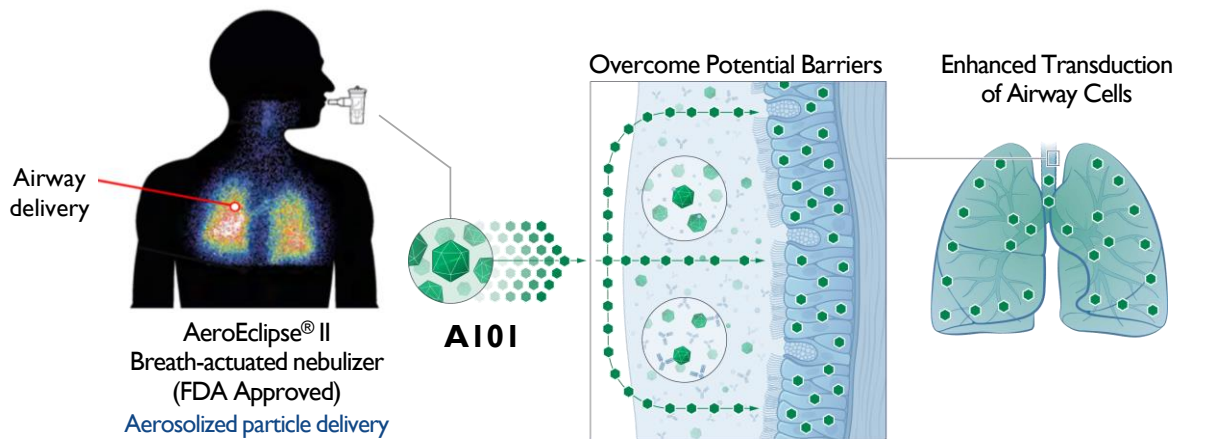
Prior aerosol gene therapy trials failed to achieve transgene expression in lung¹⁻³; potential limitations:

- ✗ Poor mucus penetration
- ✗ Inefficient airway cell transduction
- ✗ Suboptimal tissue tropism
- ✗ Susceptibility to clearance by antibodies

AI01 invented at 4DMT to overcome these limitations:

- ✓ Mucus penetration efficient
- ✓ Transgene expression efficient
- ✓ Transduction of multiple airway cell types
- ✓ Specificity for lung (>99.9%)
- ✓ Resistance to pre-existing human AAV antibodies

Aerosolized AI01-Based Genetic Medicines



Product	Indication	Prevalence	Preclinical	Phase I/2	Phase 3
4D-710	CF Lung Disease (monotherapy)	~15K WW			
	CF Lung Disease (w/ modulators)	~90K WW			
4D-725	AIAT Deficiency Lung Disease	~200K U.S./EU			

1. Aitken ML et al. *Hum Gene Ther* 2001; 12:1907–16. 2. Moss RB et al. *Chest* 2004; 125:509–21. 3. Moss RB et al. *Hum Gene Ther* 2007; 18:726–32.

Alan Cohen, M.D. Joins 4DMT as SVP, Therapeutic Area Head, Pulmonology

30+ Years of Extensive Pulmonology Expertise Including Cystic Fibrosis



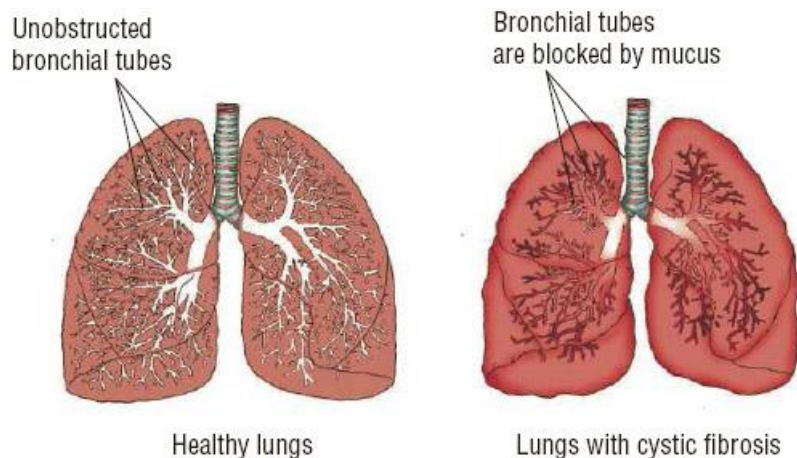
- Board Certified **Pediatric Pulmonologist, Lung Transplant & Cystic Fibrosis Physician**
- Residency and Fellowship: **National Jewish Center/University of Colorado**
- Faculty positions:
 - **Stanford** (14 years): Adjunct Clinical/Teaching Faculty
 - **Johns Hopkins** (4 years): Adjunct Asst. Professor
 - **Washington University School of Med** (4 years): Asst. Professor/Director of Lung Transplant
- 20+ years in big pharma & biotech companies; leadership in Global Clinical Dev & Medical Affairs:
 - **Bayer**: Global Clinical Leader, Orphan Drug/Ped Clinical
 - **InterMune** (acquired by Roche/Genentech): SVP Global Medical Affairs
 - **Metagenomi**: SVP/CMO Clinical Development, Gene Editing
 - **BridgeBio/Eidos**: VP Global Medical Affairs
 - **Jazz Pharma**: CMO/VP, Clinical Development, Global Safety & Pharmacovigilance
- 100+ peer reviewed medical/scientific publications, incl CF, IPF & other lung diseases



CF Lung Disease Has High Unmet Medical Need Despite Modulators

Disease Burden

- **Dysfunctional cystic fibrosis transmembrane conductance regulator (CFTR) protein** → inability to transport chloride at the apical membrane → thickened mucus
- **Lung disease:** inflammation, infections, respiratory failure
- **Median survival (Pre-modulators):** ~40 years¹



Epidemiology

- **~105,000^{2,3} prevalence worldwide:**
 - ~40,000 prevalence in U.S. alone
 - ~1,000 incidence in U.S. alone

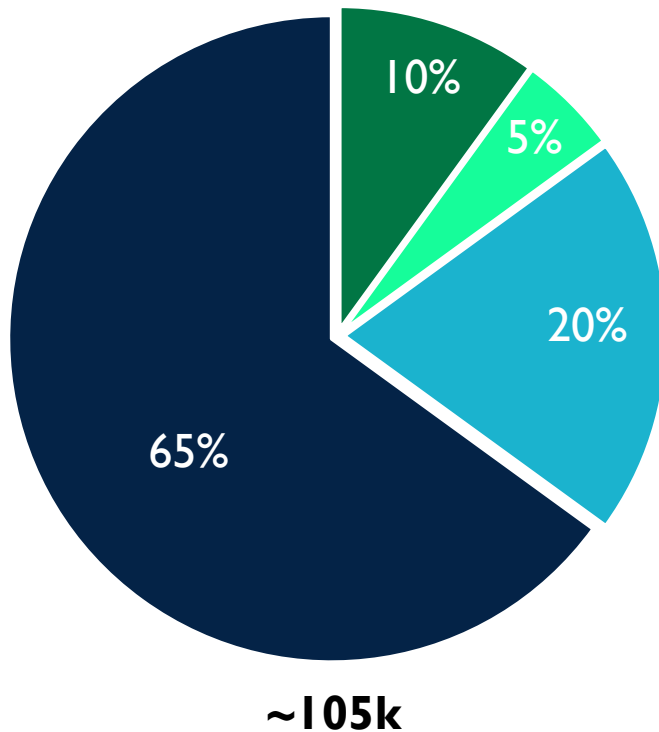
Standard of Care

- **Daily Supportive Care:**
 - Airway clearance (~100 mins)
 - Inhaled antibiotics & bronchodilators
- **Disease modifying CFTR modulators:**
 - **\$8.9 billion** annually (2022)⁴

Illustration by Frank Forney. © 2016 Cengage Learning I. Ramsey & Welsh. *Am J Respir Crit Care Med* 2017;195:1092-9. 2. Guo J et al. *Journal of Cystic Fibrosis* 2022;21:456-62. 3. Cystic Fibrosis Foundation. 4. Vertex Pharmaceuticals FY 2022 financial results. CFTR, cystic fibrosis transmembrane conductance regulator.

Highest Unmet Need in ~35K People with Cystic Fibrosis

Cystic Fibrosis Population WW



- **Ineligible** for CFTR modulators
- **Intolerant** to CFTR modulators
- **Suboptimal response** to CFTR modulators[†]
- **Responsive** to CFTR modulators

~10k } study population evaluating 4D-710 as **monotherapy**

~5k }

~20k } Potential future 4D-710 study population

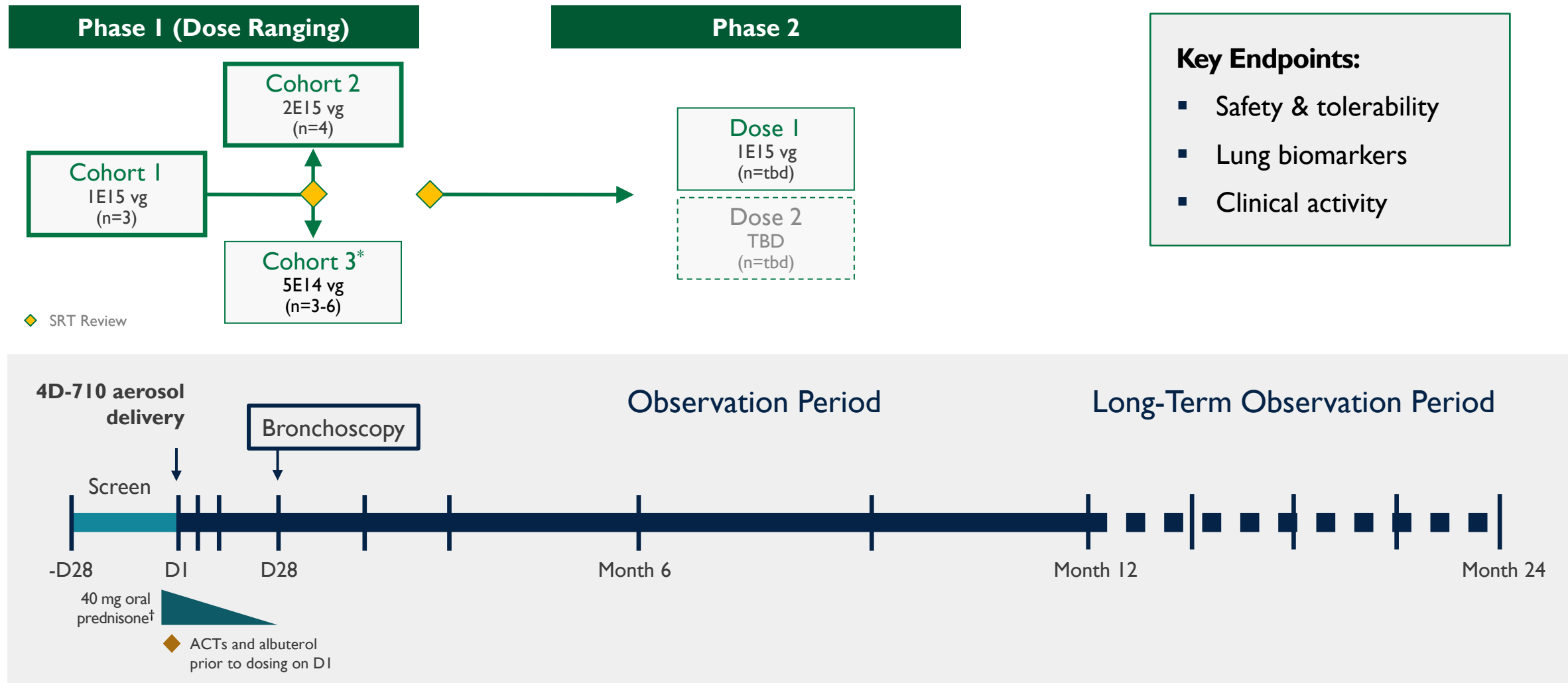
~35k

~70k } *Upside if superior dosing, efficacy, and/or safety is demonstrated*

CFTR, cystic fibrosis transmembrane conductance regulator. I. Based on assumptions derived from Middleton, 2019 and CFF registry analysis.

Phase 1/2 Designed to Identify Doses for Late-Stage Development

Generate Safety, Biomarker & Clinical Activity Data to Determine 2 Doses Incl Minimal Effective Dose



AEROW Enrolled Individuals with Generally Mild Baseline ppFEV₁ Impairment



2 Participants with Pre-Dosing NABs to A101 Capsid

Characteristic	Cohort 1 (1E15 vg)			Cohort 2 (2E15 vg)			
	Participant 1	Participant 2	Participant 3	Participant 1	Participant 2	Participant 3	Participant 4
Age, y	36	24	20	37	27	32	69
Sex	Male	Male	Female	Female	Male	Female	Female
Race/ethnicity	Non-Hispanic white	Non-Hispanic white	Non-Hispanic white	Non-Hispanic white	Non-Hispanic white	Non-Hispanic white	Non-Hispanic white
CFTR modulator eligibility	Intolerable	Ineligible	Ineligible	Ineligible	Ineligible	Ineligible	Intolerant
CFTR variant (class)	II/V	I/I*	I/II	I/I	I/I	I/I	II/II
Historical sweat chloride, mmol/L	74	103	110	84	96	103	114
Percent predicted FEV₁	83	69	95	90	56	80	86
Quality of Life (CFQ-R-RD)	72	61	83	78	72	89	78
Pre-dose NAb to A101 capsid	Positive	Negative	Positive	Negative	Negative	Negative	Negative

*Large gene deletion projected to result in a null variant profile. Sweat chloride normal range ≤29 mmol/L, *Diagnosis of Cystic Fibrosis: Consensus Guidelines from the Cystic Fibrosis Foundation* (2017). CFTR, cystic fibrosis transmembrane conductance regulator; CFQ-R-RD, Cystic Fibrosis Questionnaire—revised respiratory domain; NAb, neutralizing antibodies.

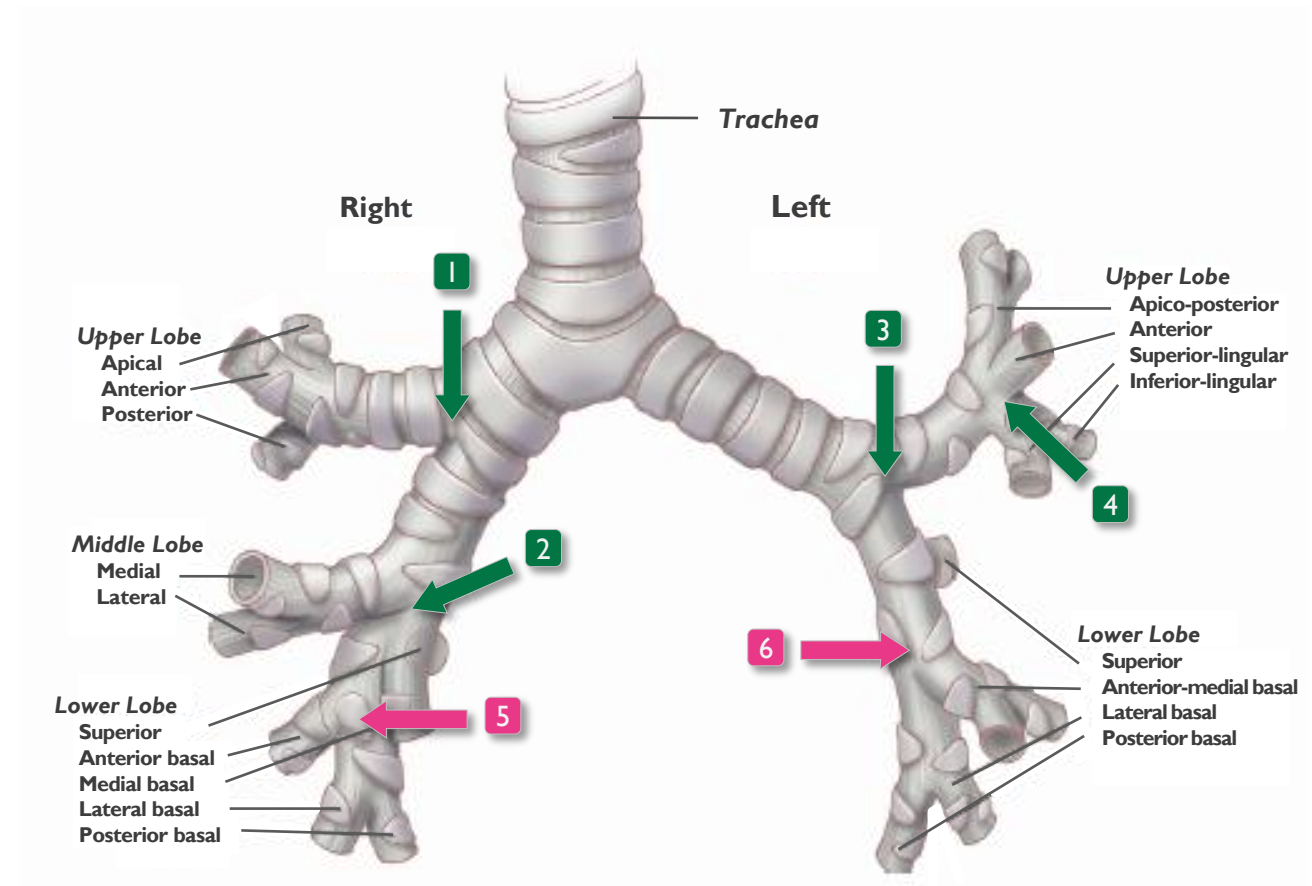
Biopsies & Brushings Collected in Multiple Lung Lobes Bilaterally For DNA, RNA & Protein

Bronchoscopy: Week 4–8*

Bronchoscopic Sampling Sites		Biomarker		
		RNA [†] Protein [‡]	DNA [¶]	
Endobronchial biopsy				
	1	Right secondary carina		X
	2	Right middle lobe carina	X	
	3	Left secondary carina	X	
	4	Left upper lobe/lingula carina		X
Endobronchial brushing				
	5	Right lower lobe basal seg x 2	X	
	6	Left lower lobe basal seg x 2	X	

*Participant 3 bronchoscopy conducted at Week 8 due to pulmonary exacerbation (unrelated to study drug).

[†]Assessed by *in situ* hybridization. [‡]Assessed by immunohistochemistry. [¶]Assessed by quantitative PCR.



Minnich DJ, Mathisen DJ. *Thorac Surg Clin* 2007;17:571-85.

4D-710 Significantly Exceeded Target CFTR Expression Profile in Airways

Target CFTR Expression Profile

- ✓ **Widespread** distribution throughout airways
- ✓ **Reproducibility** between individuals
- ✓ **All major epithelial cell types** (incl. basal cells & secretory cells)
- ✓ Robust expression **regardless of baseline antibody titer** (initial redosing feasibility)

- ✓ **≥15% of airway cells transduced with CFTR**^{1,2}
- ✓ **≥15% of normal CFTR protein levels**^{1,2}

Biomarker Results from Cohorts 1 & 2

Confirmed: 100% of bronchoscopy samples (+) (34 of 34)*

Confirmed: 7 of 7 participants

Confirmed: 7 of 7 participants

Confirmed: 2 of 2 participants with pre-treatment anti-capsid antibodies, **no decrease in transduction efficiency observed**

Significantly Exceeded: >98% of airway cells CFTR (+)

Significantly Exceeded: ~450% of normal CFTR protein levels

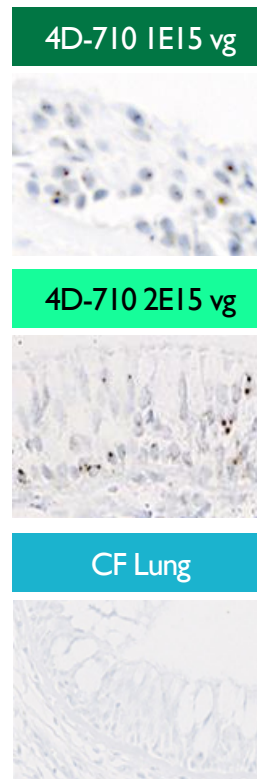
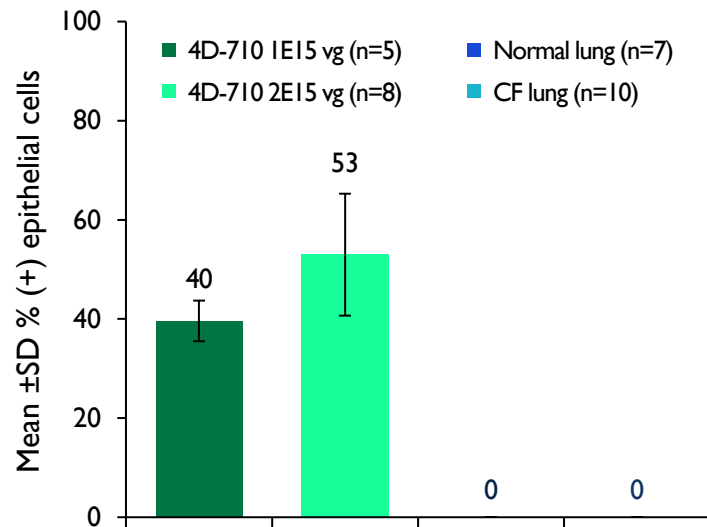
1. Dannhoffer L et al. *Am J Respir Cell Mol Biol* 2009; 40:717–23. 2. Bell S et al. *Lancet Resp Med* 2020; 8:65–124. *13/13 biopsy samples and 21/21 bronchial brushing samples. CFTR, cystic fibrosis transmembrane conductance regulator.

High-Level CFTR Expression in All 34 Lung Samples*

Robust 4D-710 Transgene Expression in Airway Epithelium Post Aerosol Delivery (7 Participants)

CFTR Δ R RNA (ISH)

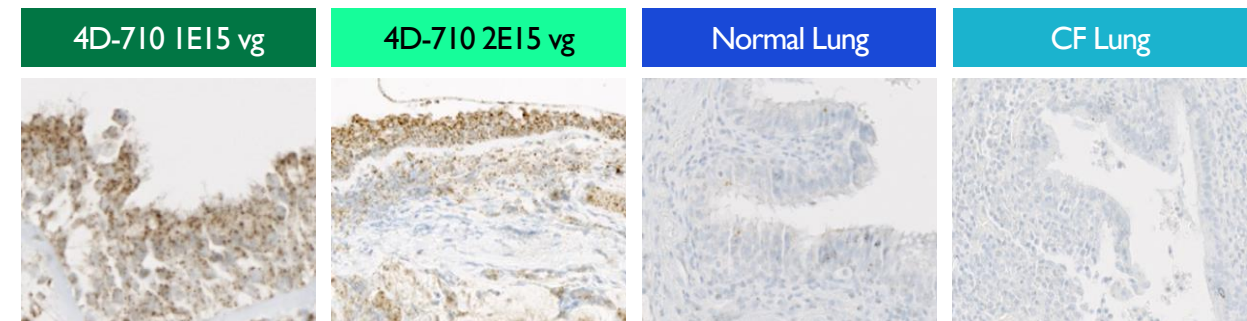
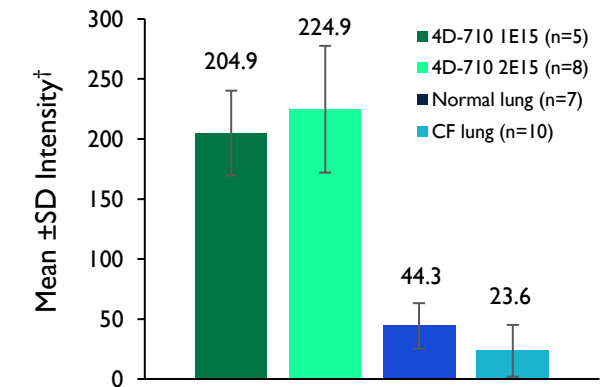
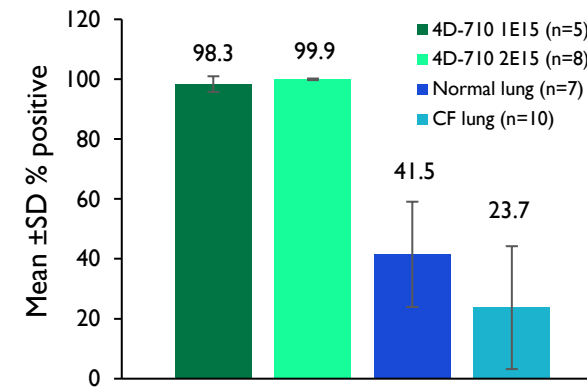
100% of samples (+) for CFTR Δ R RNA



Robust levels of CFTR Δ R RNA observed throughout the airway epithelium in biopsy samples from 4D-710-treated participants

CFTR Protein (IHC)

~100% of samples (+) for CFTR protein, **~450% of normal**

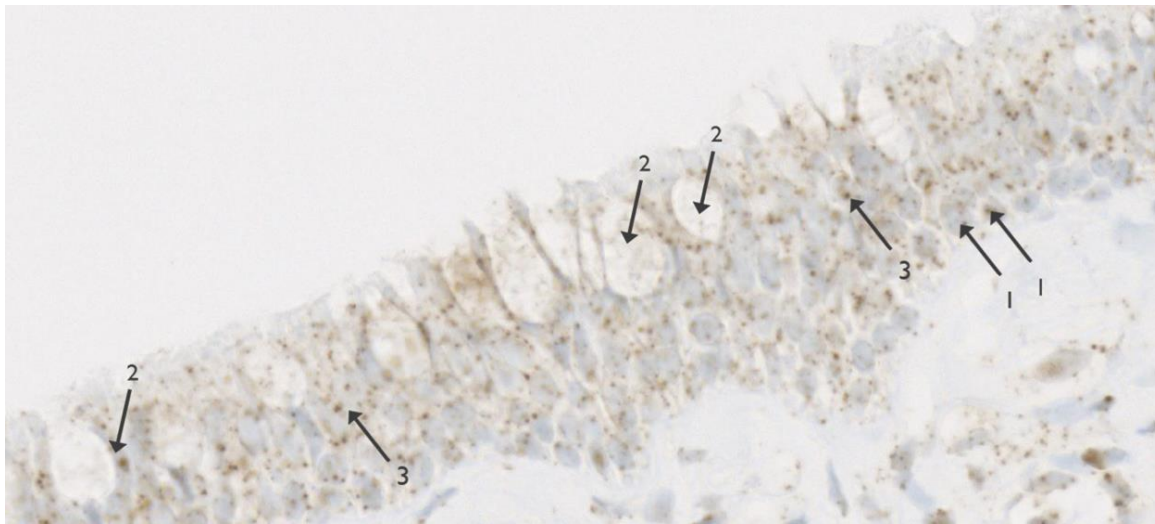


*13/13 biopsy samples and 21/21 bronchial brushing samples. †H-score. Quantification by Visiopharm AI Machine Learning Analysis. CFTR, cystic fibrosis transmembrane conductance regulator. IHC, immunohistochemistry; ISH, *in situ* hybridization; SD, standard deviation.

CFTR Protein Expression Observed in Multiple Bronchial Epithelial Cell Types

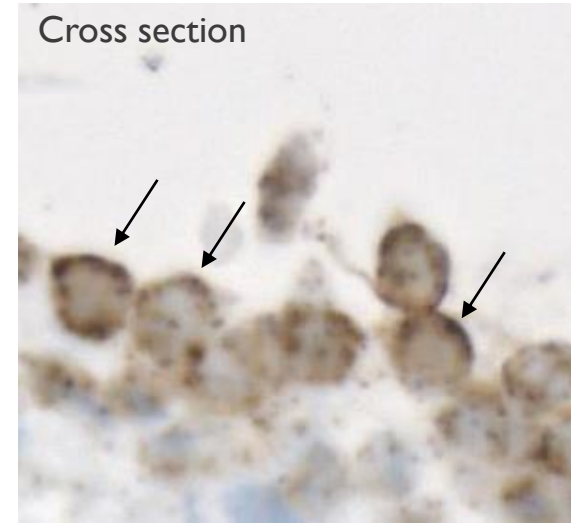
CFTR Protein Localization (IHC) Following 4D-710 Aerosol Treatment

CFTR Protein Expressed in Multiple Cell Types*

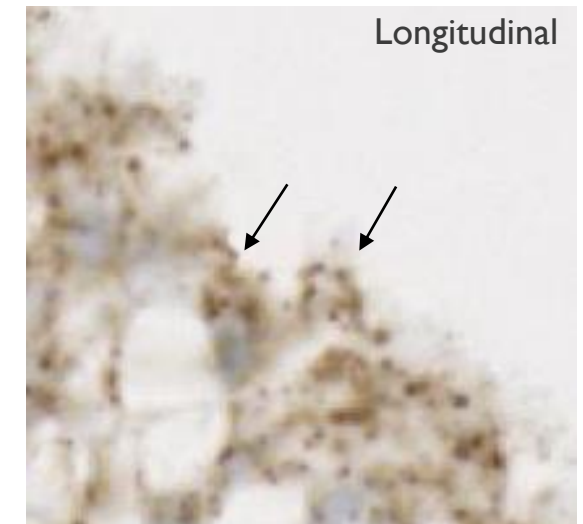


(1) Basal cells (2) Goblet cells (3) Columnar ciliated cells

Localization to Apical Membrane†



Cross section



Longitudinal

*Image from Cohort 1 participant. †Images from Cohort 2 participants. CFTR, cystic fibrosis transmembrane conductance regulator. IHC, immunohistochemistry.

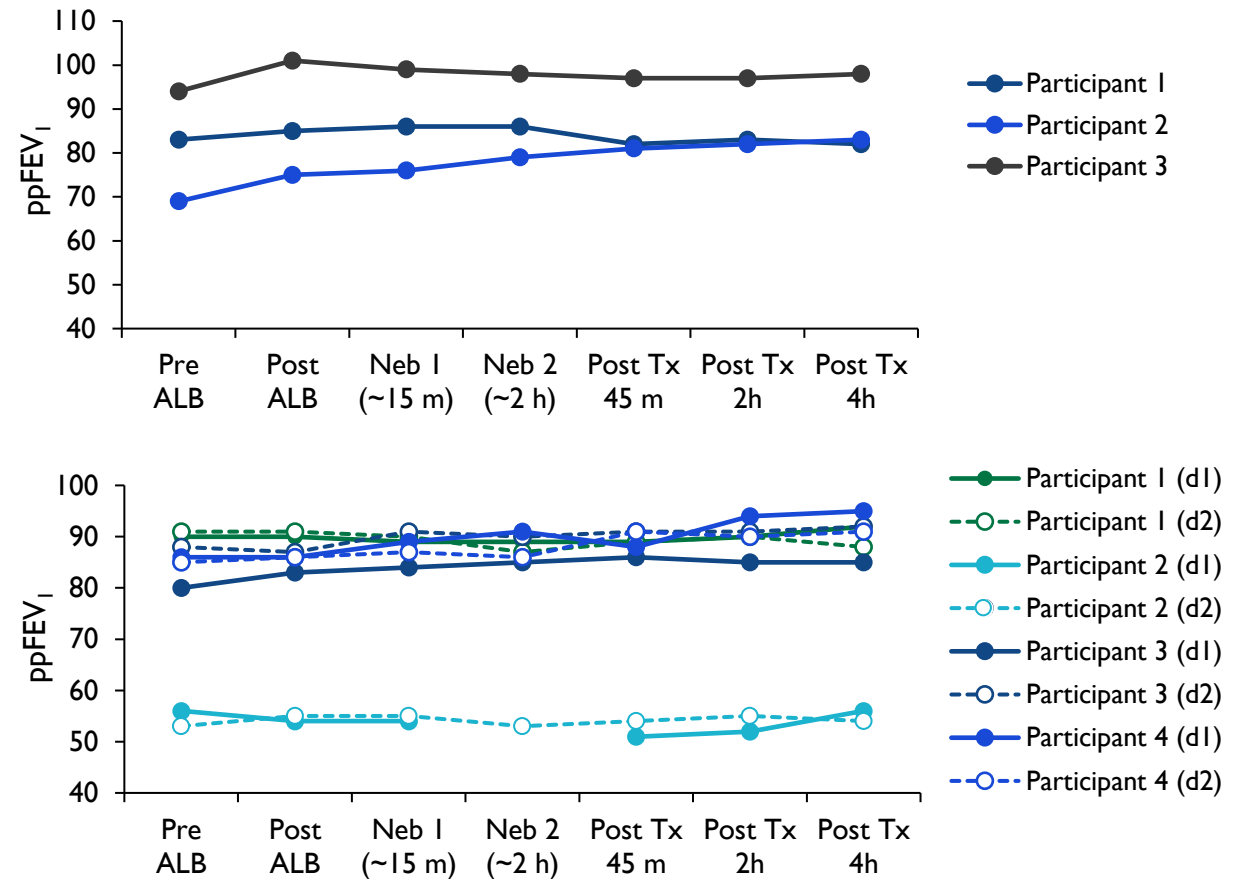
No Clinically Significant AEs During Aerosol Administration of 4D-710

- Safety and spirometry monitored during and up to 4 h post dosing
- Generally well tolerated
- No bronchospasm
- Adverse events (n=3)
 - Cohort 1: mild dry throat (n=1)
 - Cohort 2: rhinorrhea (n=1), cough (n=1)

Cohort 1

Cohort 2

Serial Spirometry During 4D-710 Dosing

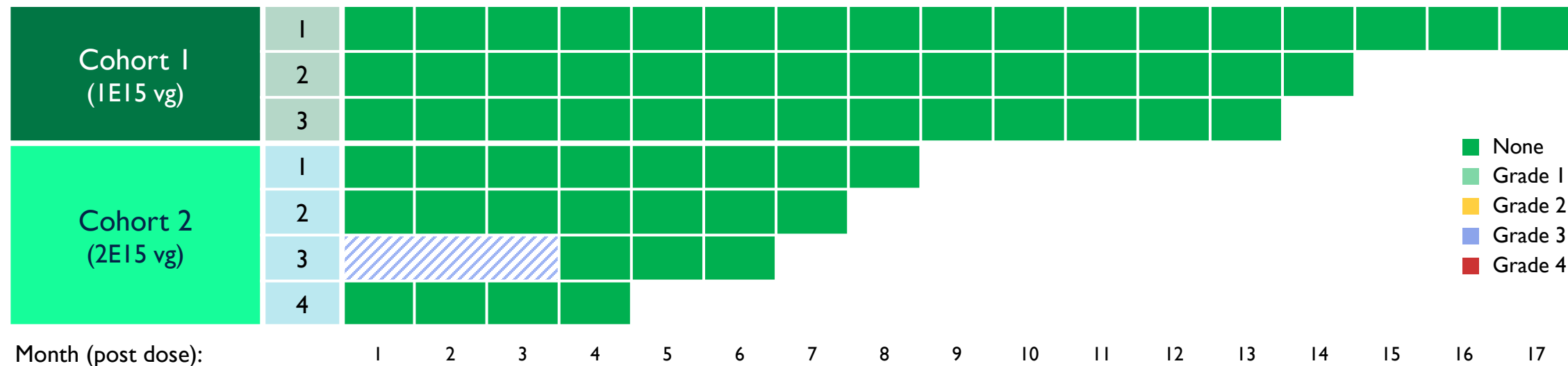


AE, adverse event; ppFEV₁, % predicted forced expiratory volume in 1 second; ALB, albuterol; NEB, nebulization. Tx, treatment

Generally Well Tolerated in 6 Participants with Up To 17 Months of Follow-Up

No Inflammation Observed in Airway Biopsies 4-8 Weeks Following 4D-710 Dosing

4D-710-Related Adverse Events Post-Dosing



- Background: In NHP GLP Tox studies, no inflammation or toxicity observed at dose ~5-fold^I higher than Cohort 2 dose
- No inflammation observed in any lung biopsy samples via 3rd party pathologist evaluation
- Cohort 1: no related AEs in 3 of 3 participants
- Cohort 2: no related AEs in 3 of 4 participants
 - Single SAE (hospitalization <72 hours; pneumonitis NOS) at week 3: Consistent with bacterial pneumonia (next slide)

I. Human lung equivalent. NHP, non-human primate; GLP, good laboratory practices; AE, adverse event; SAE, severe adverse event; NOS, not otherwise specified.

Single SAE (Pneumonitis NOS): Consistent with Bacterial Pneumonia (*Inquilinus limosus*)

- 33 y.o. female, baseline ppFEV₁ of 80, history of chronic bacterial infection (including *I. limosus*) requiring IV/oral antibiotics 1-2 x/year, alternating monthly Tobo, and daily azithromycin
- **Observation, antibiotic treatment course, and resolution**
 - Week 3 onset of dyspnea, ppFEV₁ decline (18 pp)
 - Hospitalized (<72 hours) & treated with O₂ & increased prednisone & released
 - After discharge, BALF culture results received (below), **prescribed 2-week course of IV antibiotics and prolonged steroid taper**
 - **Completely resolved over ~10 weeks, including ppFEV₁ returning to baseline value**
- **Clinical/BALF/Chest CT findings consistent with bacterial pneumonia (*I. limosus*)^{1,2}**
 - WBC: 14,600 / μ L (differential, 84% neutrophils, 9% lymphocytes, 5% monocytes)
 - HRCT (hospital radiologist): centrilobular nodularity, ground glass opacity; differential diagnosis incl. “atypical infection, cryptogenic organizing pneumonia”
 - BALF: *I. limosus*, 700,000 CFU / mL
 - Lung biopsy (Week 5): normal & no inflammation or toxicity
- **Relatedness assessment:** PI reported as possibly related

SAE, severe adverse event; NOS, not otherwise specified; IV, intravenous; ppFEV₁, % predicted forced expiratory volume in 1 second; BALF, bronchoalveolar lavage fluid; WBC, white blood cell; HRCT, high resolution computed tomography; PI, principal investigator. CT, computed tomography. WBC, white blood cell. 1. Farfour E et al. *Emerg Infect Dis* 2023;29:642–4. 2. McHugh KE et al. *Diagnostic Microbiol Infect Dis* 2016;86:446–9.

Decline Evident in Both ppFEV₁ and CFQ-R-RD at ~1 Year for Untreated People with Cystic Fibrosis

Assessment	Instrument	Historical Data
Spirometry	% Predicted FEV ₁	<p>Annual rate of decline: -1 to -2.3%^{1*,2}</p> <p>Within-subject variability: SD ±4.5%^{3†}</p>
Health-related Quality of Life: Respiratory Symptoms	Cystic Fibrosis Questionnaire-Revised Resp. Domain (CFQ-R-RD)	<p>48 week change from baseline: Est. -4 points placebo⁴</p> <p>MCID: 4 points⁵</p>

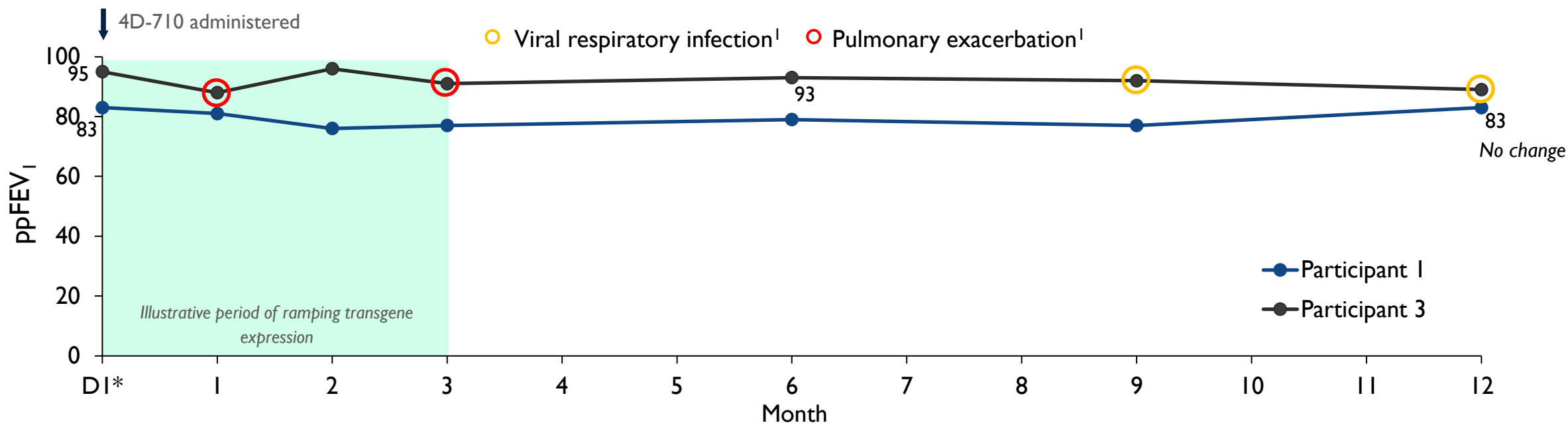
*-2.3% estimate based on DF508 homozygous population, which appears to have a similar rate of decline as Class I (null) variant population. †CFTR variants not reported.

CFQ-R-RD, Cystic Fibrosis Questionnaire-Revised (respiratory symptoms scale); MCID, minimal clinically important difference; ppFEV₁, percent predicted forced expiratory volume in 1 second; SD, standard deviation.

1. Konstan MW et al. *Lancet Respir Med* 2017;5:107–18. 2. Caley et al. *Journal of Cystic Fibrosis* 2021;20:86–90. 3. Stanbrook MB et al. *Chest* 2004;125:150–5. 4. Ramsey et al. *N Engl J Med* 2011;365:1663–72. 5. Quittner AL et al. *Chest* 2009;135:1610–18.

Cohort I: Durable ppFEV₁ Stabilization in Participants with **Mild/No** Lung Impairment

Stable Despite Pulmonary Exacerbations/Viral Respiratory Infections Not Related to 4D-710



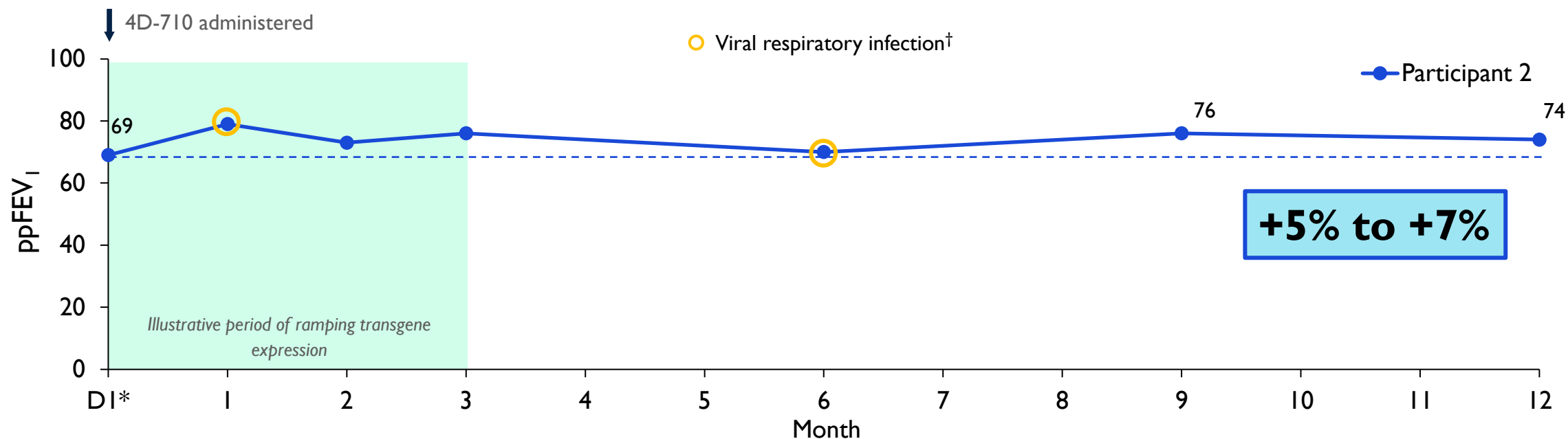
Start Day, Pulmonary Exacerbations/Viral Respiratory Infections (not related to 4D-710):

Cohort I	Month 1	Month 3	Month 6	Month 9	Month 12	Beyond Month 12
Participant 1	none	none	none	none	none	none (through month 17)
Participant 3	Day 29: Grade 2 Infective PE	Day 81: Grade 1 Infective PE (<i>S. aureus</i> +)	none	Day 266: Grade 1 COVID-19	Day 329: Grade 1 Upper respiratory infection	none (through month 13)

I. Within 21 days of assessment. *Pre-dose spirometry assessment. ppFEV₁, percent predicted forced expiratory volume in 1 second; PE, pulmonary exacerbation.

Cohort I: Durable ppFEV₁ Improvement in Participant with **Moderate** Lung Impairment

Range +1 To +10 Over 12 Months



Start Day, Pulmonary Exacerbations/Viral Respiratory Infections (not related to 4D-710):

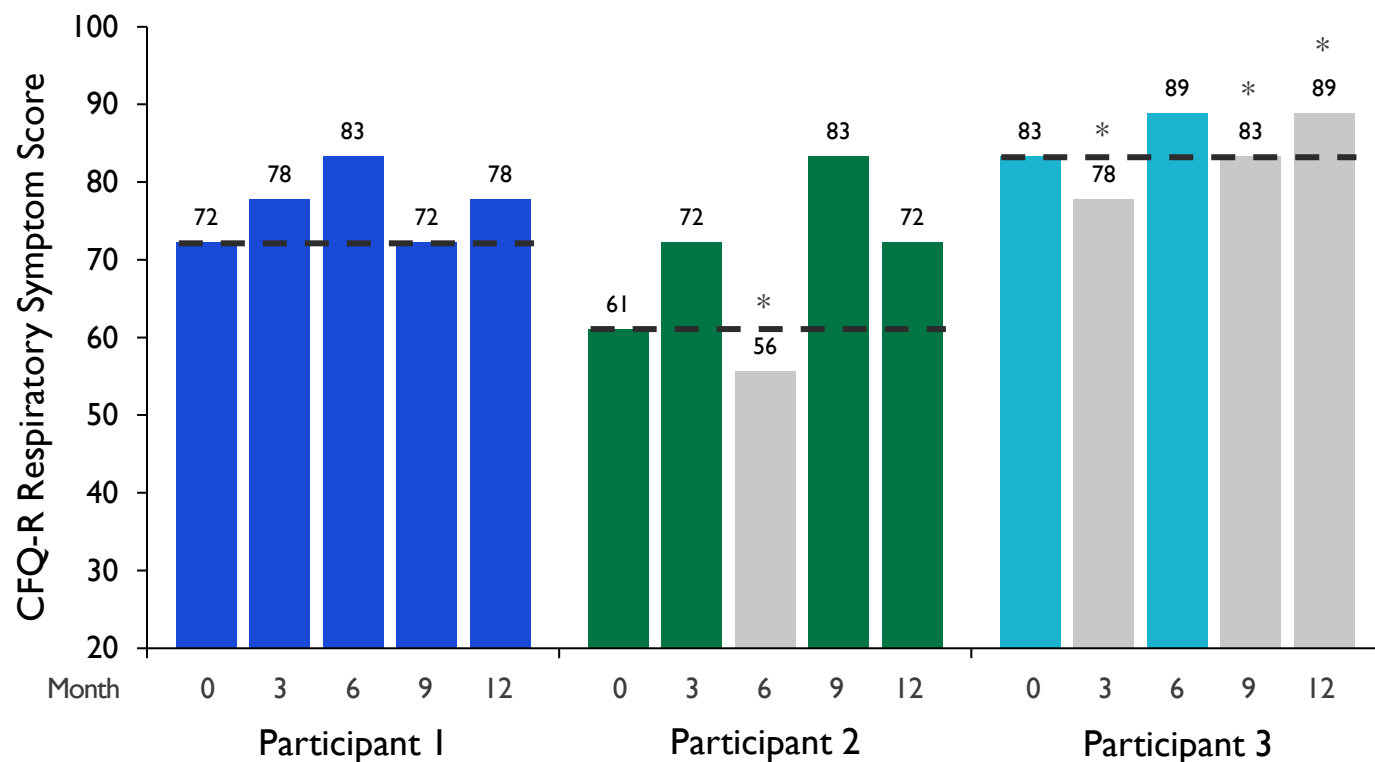
Cohort 1	Month 1	Month 3	Month 6	Month 9	Month 12	Beyond Month 12
Participant 2	Day 8: Grade 3 COVID-19, dyspnea	none	Day 176: Grade 1 rhinovirus	none	none	none (through month 14)

*Pre-dose spirometry assessment. ppFEV₁, percent predicted forced expiratory volume in 1 second. [†]Within 21 days of assessment.

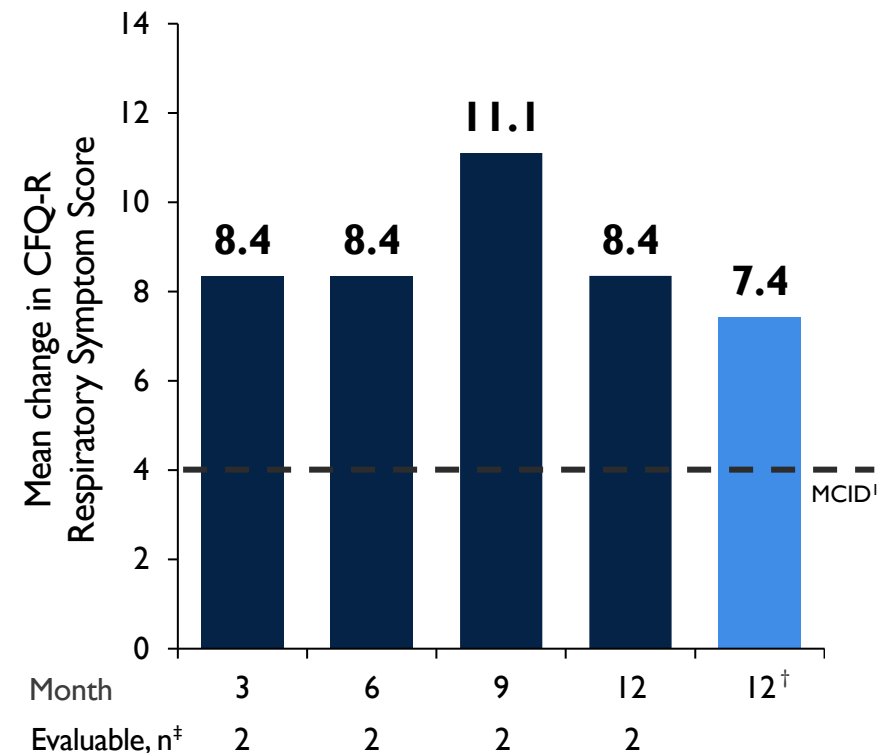
Cohort I: Durable Improvement in CFQ-R-RD Quality of Life in All 3 Participants

Mean Increase of 8.4–11.1 Points Over 12 Months Consistently Above MCID

CFQ-R Respiratory Symptom Score



Mean Change in CFQ-R Score



*Respiratory-related adverse event within 21 days of assessment. [†]All enrolled participants (n=3). [‡]Excludes participants with a respiratory-related event within 21 days of assessment. CFQ-R-RD, Cystic Fibrosis Questionnaire-Revised (respiratory symptoms scale). Scores range from 0 to 100, with higher scores indicating better health. MCID=4 points [1]. I. Quittner AL et al. *Chest* 2009;135:1610–18.

Cohort I: Improved and/or Stable in 3 Participants Treated with 4D-710

Assessment	Instrument	Historical Data	4D-710 Outcomes Through 12 Months (n=3)
Spirometry	% Predicted FEV ₁	Annual rate of decline: -1 to -2.3% ^{1*,2} Within-subject variability: SD ±4.5% ³	BL Moderate: Improved (+5-7%) BL Mild: Stable (0%) BL Normal: Stable (-2%) [†]
Health-related Quality of Life: Respiratory Symptoms	Cystic Fibrosis Questionnaire-Revised Resp. Domain (CFQ-R-RD)	48 week change from baseline: Est. -4 points placebo ⁴ MCID: 4 points ⁵	Clinically meaningful improvement (≥4 points; MCID): ▪ 3 of 3 participants ▪ Mean Increase of 8.4–11.1 and up to +22 points

*Estimate based on DF508 homozygous population, which appears to have a similar rate of decline as Class I (null) variant population. †Based on last evaluable time point.

CFQ-R-RD, Cystic Fibrosis Questionnaire-Revised (respiratory symptoms scale); MCID, minimal clinically important difference; ppFEV₁, percent predicted forced expiratory volume in 1 second; QoL, quality of life; SD, standard deviation.

1. Konstan MW et al. *Lancet Respir Med* 2017;5:107–18. 2. Caley et al. *Journal of Cystic Fibrosis* 2021;20:86–90. 3. Stanbrook MB et al. *Chest* 2004;125:150–5. 4. Ramsey et al. *N Engl J Med* 2011;365:1663–72. 5. Quittner AL et al. *Chest* 2009;135:1610–18.

Strong Clinical POC Further Advances 4D-710 Program

4D-710 Clinical Update (Phase I/2 AEROW study n=7, 1E15 and 2E15 vg)





- **Well tolerated generally:** acute dosing & long-term f/u (up to 17 months)
- **Promising & reproducible** CFTR transgene expression: **all 7** participants
 - ✓ **100% (34 of 34)** of lung samples positive (+)
 - ✓ **Significantly higher than normal:** **98%** in airway tissue samples & **~450%** of normal
- **Durable** clinical activity through **12 months in Cohort 1** (Cohort 2 pending)

Next Steps

- Cohort 1 dose level (1E15 vg) **selected to continue into Phase 2**
- **Dose ranging continues** (5E14 – 2E15 vg): expression profile enables lower doses; first participant dosed in Cohort 3 (5E14 vg)
- **Opportunity to demonstrate functional benefit via additional measures:** HRCT, LCI, MCC, # & severity of bacterial PEs
- Opportunities for **redosing** and **modulator combination**
- **FDA feedback for monotherapy & modulator combination regimens** expected to be shared in Q1 2024

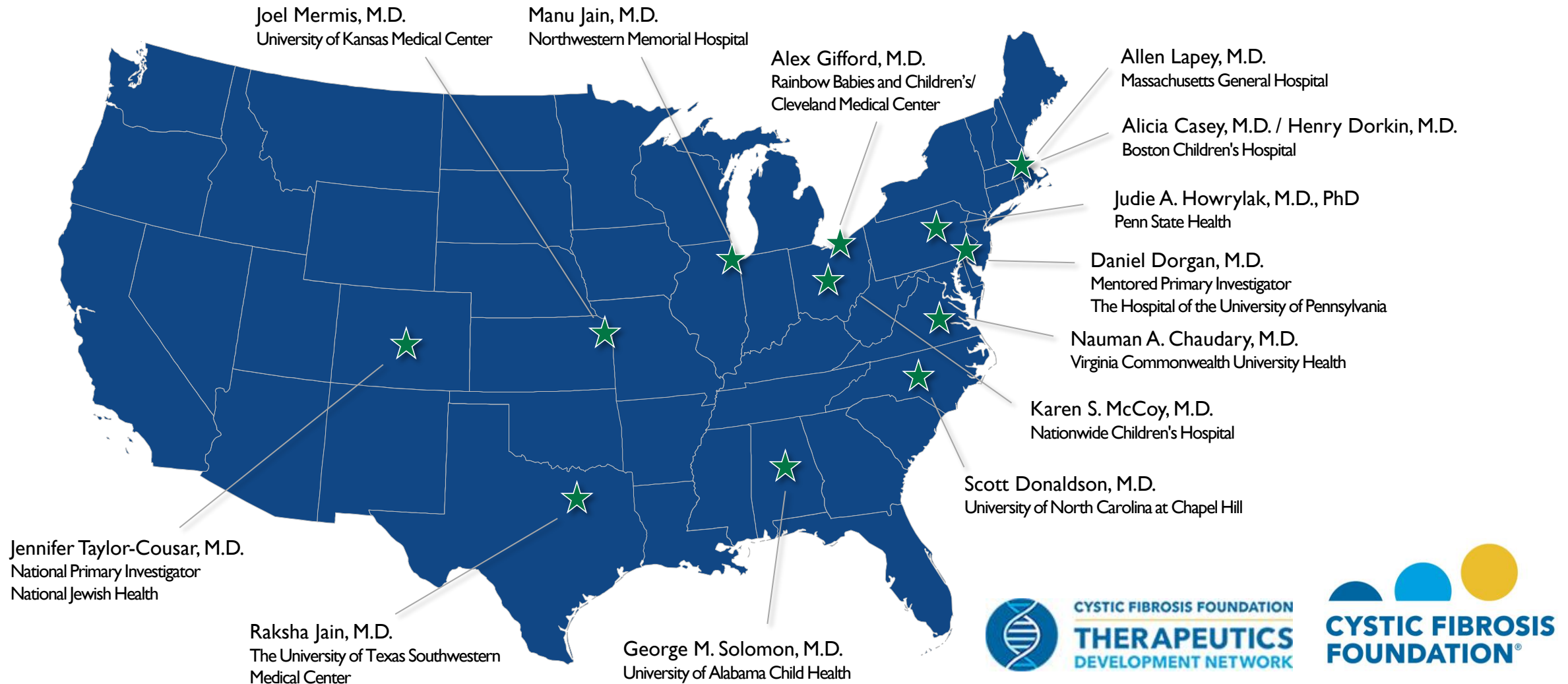
HRCT, high resolution computed tomography; LC, Lung Clearance Index; MCC, mucociliary clearance; PE, pulmonary exacerbation.

Significant Progress in Pulmonology Pipeline Expected in Next 12 Months




VECTOR DELIVERY	PRODUCT CANDIDATE	INDICATION	EPIDEMIOLOGY (PREVALENCE)	RESEARCH CANDIDATE	IND-ENABLING	PHASE 1/2	PHASE 3	UPCOMING MILESTONES
PULMONOLOGY A101 Aerosol 	4D-710	Cystic Fibrosis Lung Disease (monotherapy)	~15K WW					<ul style="list-style-type: none"> ▪ Q1:24 Share FDA feedback on development plan ▪ Mid 24 Interim Ph I data
		Cystic Fibrosis Lung Disease (combo with modulators)	~90K WW					<ul style="list-style-type: none"> ▪ Q1:24 Share FDA feedback on development plan
	4D-725	AIAT Deficiency Lung Disease	~200K U.S./EUMM					<ul style="list-style-type: none"> ▪ 2024 Program update

Acknowledgments:

Participants & Their Families, Principal Investigators & Study Staff, CFF/TDN



7+ Year Collaboration & ~\$20M in Financial Support From CFF to Accelerate Development of 4DMT Lung Vectors and Product Candidates for People with CF

	Mechanism	CFF Expertise	Financials
	Research Grant (2016)	Gain access to: <ul style="list-style-type: none">▪ CFF scientists & specialists▪ <i>In vitro</i> assays▪ Animal models▪ World renowned research lab▪ CF patient samples▪ Patient registry including data from >32k people with CF	\$6.3M (recently increased by \$2.8M)
	Equity Investment (2020-21)		\$14M
	Phase I/2 Trial  (Sanctioned by TDN in 2022)	<ul style="list-style-type: none">▪ Deep development experience conducting >150 clinical studies for CF▪ Access to >90 accredited care centers with demonstrated expertise in clinical research	N/A



Thank You

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Historical & 4DMT Data Demonstrate Feasibility of Repeat Dosing for Aerosolized 4D-710

Rationale

- Repeat dosing desirable for this population
- **Historical data demonstrates feasibility of redosing:**
 - **NHP¹:** Repeat dose **safe and well-tolerated**, transgene expression levels for repeat dose similar to single dose
 - **Rabbit² and ferret³:** **Similar transgene expression results** for repeat dose **in the presence of treatment emergent serum & anti-capsid NAb**s
 - **Human⁴:** 70 patients with aerosol AAV.CFTR – **3 doses over 2 months well tolerated**

4DMT Results

- **4DMT data demonstrates initial feasibility:**
 - **NHP pre-existing anti-A101 immunity** (cross-reactivity): Safe & equivalent transduction vs immune (-) NHP
 - **4D-710 AEROW in 2 pwCF with pre-existing anti-A101 immunity:** Safe & equivalent transduction vs immune (-) pwCF

Next Steps: AEROW study results will inform timing

- Plan to implement lung biopsies at later timepoint(s) post-dosing
- Observe durability of clinical activity: CFQ-R-RD & ppFEV₁

1. Fischer AC et al., *Mol Ther* 2003; 8:918-26 2. Beck SE et al. *J Virol* 1999; 73:9446-55 3. Tang Y et al., *Mol Ther Methods Clin Dev* 2020;19:186-200; Tang Y. et al., *Mol Ther Methods Clin Dev* 2023;29:70-80 4. Moss RB et al., *Chest* 2004;125:509-21; Moss RB et al., *Hum Gene Ther* 2007;18:726-32. CFQ-R-RD, Cystic Fibrosis Questionnaire-Revised (respiratory symptoms scale); ppFEV₁, percent predicted forced expiratory volume in 1 second.

Strong Rationale for 4D-710 + Trikafta Combination

Rationale

In vitro: 4D-710+Trikafta = CFTR Function Improvement

■ Unmet need remains

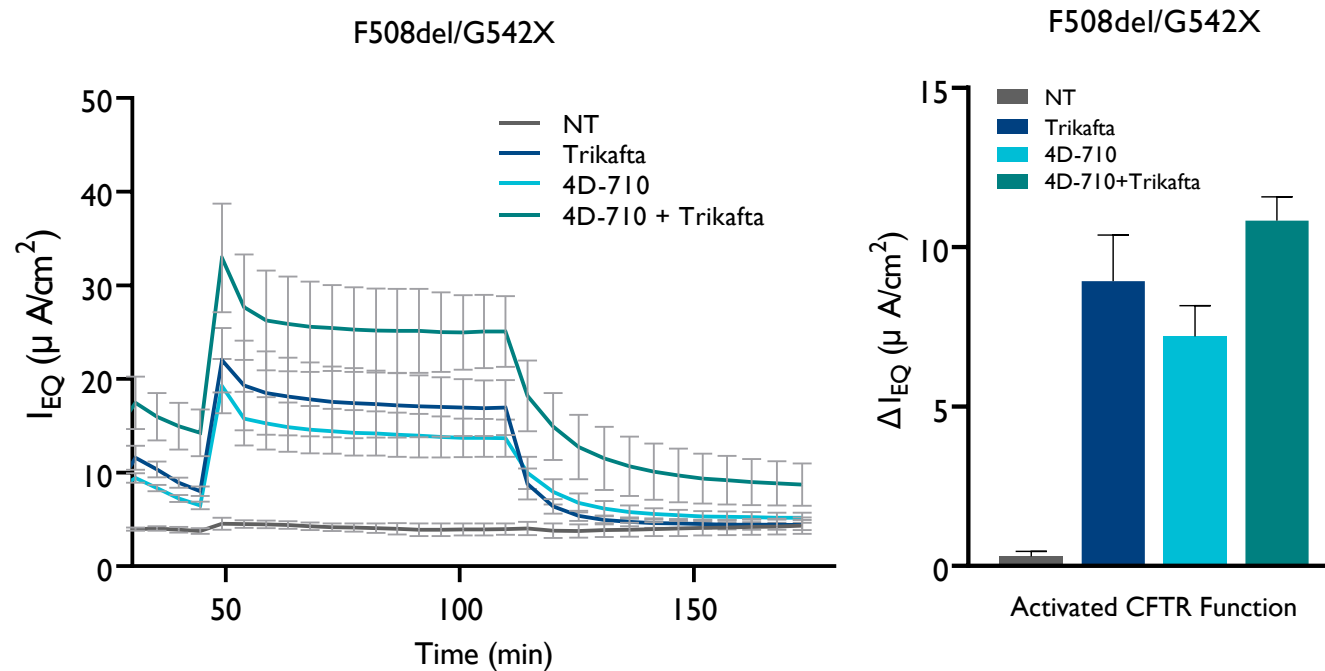
- Trikafta Ph 3 results suggest population with minimal benefit, remaining unmet need

■ Scientific rationale: additive effects

- Different MOAs
- Different cells & cell types may be targeted
- Modulators treat extra-pulmonary tissues

■ Scientific rationale: synergistic effects

- 4D-710 transduction increased by modulators (mucus thinning)
- Modulators predicted to bind/improve CFTR Δ R function
- Targeting different cell types & distribution



Next Steps: Discuss development path with FDA in Q4 2023

CFTR activity in CFhBE ALI airway epithelial cultures transduced with 4D-710 (1×10^6) for 7 days and/or Trikafta (2 μM VX-445, 3 μM VX-661, 0.1 μM VX-770) for 24hr; n=3 different experiments; error bars, \pm SEM. F508del/F508del Donor ID#: KKCF006f; F508del/G542X Donor ID#: KKD017K. CFhBE, cystic fibrosis primary human bronchial epithelial cells; ALI, air-liquid interface; CFTR, cystic fibrosis transmembrane conductance regulator; NT, not treated.